

NAVA BHARAT

# NAVA BHARAT VENTURES LIMITED

NAVA BHARAT CHAMBERS, RAJ BHAVAN ROAD, HYDERABAD-500082, TELANGANA, INDIA

NBV/SECTL/ 188 /2019-20

May 30, 2019

Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No.C/1, G Block  
Bandra Kurla Complex, Bandra (E)  
MUMBAI – 400 051  
**NSE Symbol : 'NBVENTURES'**

Dept.of Corp.Services  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
MUMBAI – 400 001

**Scrip Code : '513023' / 'NBVENTURE'**

Dear Sir,

**Sub: Annual Secretarial Compliance Report for FY 2018-19.**  
**Ref: SBI Circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019.**

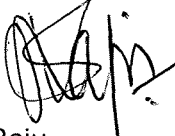
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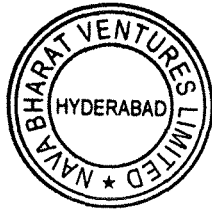
Please find enclosed the Annual Secretarial Compliance Report as prescribed by the SEBI Circular cited above for the year ended March 31, 2019 issued by M/s. P.S.Rao & Associates, Practicing Company Secretaries and Secretarial Auditors of the Company.

Kindly take the same on record and acknowledge the receipt.

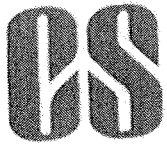
Thanking you,

Yours faithfully,  
for Nava Bharat Ventures Ltd.,

  
VSN Raju  
Company Secretary  
& Vice President



Encl: as above



**SECRETARIAL COMPLIANCE REPORT  
OF  
NAVA BHARAT VENTURES LIMITED  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2019**

We, **P.S. Rao & Associates**, Company Secretaries, have examined:

- (a) All the documents and records made available to us and the explanation provided by **Nava Bharat Ventures Limited**, having its registered office at Nava Bharat Chambers, 6-3-1109/1, Rajbhavan Road, Hyderabad-500082, Telangana hereinafter referred to as "**the listed entity**";
- (b) The filings/ submissions made by the listed entity to the Stock Exchanges;
- (c) Website of the listed entity; and
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

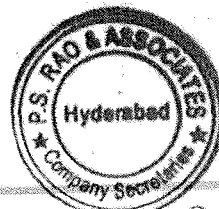
for the year ended **March 31, 2019** ("April 01, 2018 to March 31, 2019"), in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars and guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), the rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

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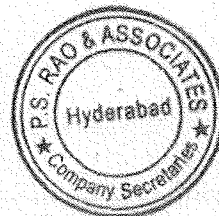
*P.S. Rao*

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- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018- *Not applicable to the listed entity during the audit period;*
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2018- *Not applicable to the listed entity during the audit period;*
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013- *Not applicable to the listed entity during the audit period;*
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Depositories Act, 1996;
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; and
- (k) The Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulations, 1993 and the circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above regulations and circulars/guidelines issued thereunder;
- b. The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records;
- c. There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/ guidelines issued thereunder; and



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*Prasanna*

d. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the action taken by the listed entity
1	Mr. ERC Shekar, who was a director of the listed entity, has been named as a disqualified director under the provisions of 164(2) of the Companies Act, 2013.	NIL	Mr. ERC Shekar has submitted respective proofs confirming that way back he resigned from the Company due to which he got disqualification. He was continued as a director of the listed entity as he confirmed that he was following up with the concerned authorities and was also in the process of filing a petition against the said disqualification. Later on, he got an order from the respective court for the removal of disqualification.	As the disqualification is removed by the court, his continuity is in compliance of the provisions of the Companies Act, 2013. However, he has ceased to be the director of the listed entity w.e.f. April 01, 2019 in compliance of Regulation 17 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

For P.S. Rao & Associates  
Company Secretaries

Place: Hyderabad  
Date : May 29, 2019



*P.S. Rao*

P S Rao

Company Secretary

M. No.: 9769

C.P. No.: 3829